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**D-Frankfurt-on-Main: ECB - performance of external quality assessments for internal audit functions  
2012/S 121-199746**

**Contract notice**

**Services**

Directive 2004/18/EC

**Section I: Contracting authority**

**I.1) Name, addresses and contact point(s)**

European Central Bank

Kaiserstraße 29

Contact point(s): For downloading documentation for this procurement procedure, please see Section VI.3

For the attention of: Ramón van Deelen

60311 Frankfurt-on-Main

GERMANY

Telephone: +49 6913448631

E-mail: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu)

Fax: +49 6913447110

**Internet address(es):**

General address of the contracting authority: <http://www.ecb.europa.eu>

**Further information can be obtained from:** The above mentioned contact point(s)

**Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from:** The above mentioned contact point(s)

**Tenders or requests to participate must be sent to:** The above mentioned contact point(s)

**I.2) Type of the contracting authority**

European institution/agency or international organisation

**I.3) Main activity**

Economic and financial affairs

**I.4) Contract award on behalf of other contracting authorities**

The contracting authority is purchasing on behalf of other contracting authorities: yes

National Bank of Romania

Lipscani Street 25

030031 Bucharest

ROMANIA

Central Bank of Malta

St James Counterguard

Valletta

VLT 1060

MALTA

Central Bank of Cyprus

Kennedy Avenue 80

1076 Nicosia

CYPRUS

Národná banka Slovenska (the central bank of Slovakia)

Imricha Karvasa 1

813 25 Bratislava

SLOVAKIA

Bank of Greece

Avenue Mesogeion 341

152 31 Chalandri

GREECE

Banque centrale du Luxembourg

2, boulevard Royal

2983 Luxembourg

LUXEMBOURG

Magyar Nemzeti Bank (the central bank of Hungary)

Szabadság tér 8/9.

1054 Budapest

HUNGARY

## **Section II: Object of the contract**

### **II.1) Description**

#### **II.1.1) Title attributed to the contract by the contracting authority:**

Performance of external quality assessments for internal audit functions.

#### **II.1.2) Type of contract and location of works, place of delivery or of performance**

Services

Service category No 9: Accounting, auditing and bookkeeping services

NUTS code DE712

#### **II.1.3) Information about a public contract, a framework agreement or a dynamic purchasing system (DPS)**

The notice involves the establishment of a framework agreement

#### **II.1.4) Information on framework agreement**

Framework agreement with several operators

Number of participants to the framework agreement envisaged: 3

#### **Duration of the framework agreement**

Duration in months: 17

#### **II.1.5) Short description of the contract or purchase(s)**

Joint open tender procedure for the award of framework agreements for the performance of external quality assessments for internal audit functions of the central banks of the Eurosystem/ESCB.

#### **II.1.6) Common procurement vocabulary (CPV)**

79212000, 79212200

#### **II.1.7) Information about Government Procurement Agreement (GPA)**

The contract is covered by the Government Procurement Agreement (GPA): yes

#### **II.1.8) Lots**

This contract is divided into lots: no

#### **II.1.9) Information about variants**

Variants will be accepted: no

**II.2) Quantity or scope of the contract**

**II.2.1) Total quantity or scope:**

The ECB carries out this joint procurement procedure as the leading central bank on its behalf and for the benefit of the following 7 national central banks of the Eurosystem/ESCB, collectively, including the ECB, referred to as the central banks:

- Banque centrale du Luxembourg,
- Central Bank of Malta,
- Central Bank of Cyprus,
- Magyar Nemzeti Bank (the central bank of Hungary),
- National Bank of Romania,
- Národná banka Slovenska (the central bank of Slovakia),
- Bank of Greece.

The leading central bank intends to award at least 3 non-exclusive multi-supplier framework agreements for the performance of external quality assessments for internal audit functions. The contractors shall provide the external quality assessments to the central banks during the period from 1.11.2012 to 31.3.2014.

For the tasks to be executed under the framework agreement please refer to Chapter I — Section 2 of the invitation to tender.

**II.2.2) Information about options**

Options: no

**II.2.3) Information about renewals**

This contract is subject to renewal: no

**II.3) Duration of the contract or time limit for completion**

Duration in months: 17 (from the award of the contract)

**Section III: Legal, economic, financial and technical information**

**III.1) Conditions relating to the contract**

**III.1.1) Deposits and guarantees required:**

No deposit is required, but where applicable, the successful tenderer (or in the case of a successful consortium bid, each member of the consortium) may be required to provide a parent-company guarantee.

**III.1.2) Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them:**

Method of payment will be in accordance with the terms and conditions of the contract.

**III.1.3) Legal form to be taken by the group of economic operators to whom the contract is to be awarded:**

**III.1.4) Other particular conditions**

The performance of the contract is subject to particular conditions: no

**III.2) Conditions for participation**

**III.2.1) Personal situation of economic operators, including requirements relating to enrolment on professional or trade registers**

Information and formalities necessary for evaluating if the requirements are met: Please see the details in the tender documentation to be requested from the contact point indicated in Sections I.1 and VI.3.

**III.2.2) Economic and financial ability**

Information and formalities necessary for evaluating if the requirements are met: Please see the details in the tender documentation to be requested from the contact point indicated in Sections I.1 and VI.3.

Minimum level(s) of standards possibly required: The tenderers' economic and financial capacity will be assessed on the basis of their financial situation (turnover and profit and loss) during the last 3 financial years. As a minimum, tenderers shall have had, in the last 3 financial years, an annual net turnover of at least 500 000 EUR.

**III.2.3) Technical capacity**

Information and formalities necessary for evaluating if the requirements are met:

Please see the details in the tender documentation to be requested from the contact point indicated in Sections I.1 and VI.3.

Minimum level(s) of standards possibly required:

The tenderers' technical and professional capacity will be assessed on the tenderers' experience in performing comparable tasks to those described in Annex 1 to the ITT. The tenderer shall have performed external quality assessments as specified in Section 1.1 of Annex 1 to at least 3 different internal audit functions of at least 7 staff members in the last 3 calendar years (2009/2010/2011).

**III.2.4) Information about reserved contracts**

**III.3) Conditions specific to services contracts**

**III.3.1) Information about a particular profession**

Execution of the service is reserved to a particular profession: no

**III.3.2) Staff responsible for the execution of the service**

Legal persons should indicate the names and professional qualifications of the staff responsible for the execution of the service: yes

**Section IV: Procedure**

**IV.1) Type of procedure**

**IV.1.1) Type of procedure**

Open

**IV.1.2) Limitations on the number of operators who will be invited to tender or to participate**

**IV.1.3) Reduction of the number of operators during the negotiation or dialogue**

**IV.2) Award criteria**

**IV.2.1) Award criteria**

The most economically advantageous tender in terms of the criteria stated below

1. Price. Weighting 40

2. Quality of the tender. Weighting 60

**IV.2.2) Information about electronic auction**

An electronic auction will be used: no

**IV.3) Administrative information**

**IV.3.1) File reference number attributed by the contracting authority:**

'2012-04-26 12.06 - External Assessment Audit'.

**IV.3.2) Previous publication(s) concerning the same contract**

no

**IV.3.3) Conditions for obtaining specifications and additional documents or descriptive document**

Payable documents: no

**IV.3.4) Time limit for receipt of tenders or requests to participate**

6.8.2012 - 17:00

IV.3.5) **Date of dispatch of invitations to tender or to participate to selected candidates**

IV.3.6) **Language(s) in which tenders or requests to participate may be drawn up**  
English.

IV.3.7) **Minimum time frame during which the tenderer must maintain the tender**  
Duration in months: 9 (from the date stated for receipt of tender)

IV.3.8) **Conditions for opening tenders**

**Section VI: Complementary information**

VI.1) **Information about recurrence**

This is a recurrent procurement: no

VI.2) **Information about European Union funds**

The contract is related to a project and/or programme financed by European Union funds: no

VI.3) **Additional information**

To participate in the procurement procedure please register via the Internet platform using the following Internet address, user name and password:

Internet address: <https://www.ecb.europa.eu/secure/procurement/login.html>

User name: 22051/IA/ASV/2012

Password: FF6E6A

After having registered an e-mail will be sent to you with a new user name and password. The Internet address will remain the same.

Please use the new user name and password to download the procurement documentation.

Should you experience any problems in accessing the Internet platform for registration and/or downloading the procurement documentation, please do not hesitate to contact us under the following e-mail address: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu) quoting the procurement number and problem experienced.

The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organisation Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements.

The ECB shall exclude tenderers that are in one of the situations described in Article 24(4) of Decision ECB/2007/5 (e.g. conviction for fraud or corruption) or put on a blacklist in accordance with Article 24(7) of Decision ECB/2007/5.

The ECB may exclude tenderers that are in one of the situations described in Article 24(5) of Decision ECB/2007/5 (e.g. insolvency; grave professional misconduct; misrepresentation). Further information about ECB procurement rules and procedures may be obtained at <http://www.ecb.europa.eu/ecb/jobsproc/tenders/html/index.en.html>

VI.4) **Procedures for appeal**

VI.4.1) **Body responsible for appeal procedures**

Procurement Review Body of the ECB, c/o Legal Advice Team

Kaiserstraße 29

60311 Frankfurt-on-Main

GERMANY

E-mail: [legaladviceteam@ecb.europa.eu](mailto:legaladviceteam@ecb.europa.eu)

Fax: +49 6913446886

**Body responsible for mediation procedures**

The European Ombudsman

1 avenue du Président Robert Schuman, CS 30403  
Strasbourg  
FRANCE

VI.4.2) **Lodging of appeals**

Precise information on deadline(s) for lodging appeals: 15 days from the receipt of the information specified in Article 28(3) of the ECB procurement rules (see Section VI.3) or, if no information is requested, 15 days from the receipt of the notification to unsuccessful tenderers. Further requirements are outlined in Article 33 of the ECB procurement rules (see Section VI.3). The complaint to the European Ombudsman does not affect the submission deadline nor does it create a new deadline by which complaints can be submitted.

VI.4.3) **Service from which information about the lodging of appeals may be obtained**

VI.5) **Date of dispatch of this notice:**

15.6.2012